Docket No.: GLAUKO.1C3CP1

February 21, 2007

Page 1 of 1

# FEB 2 3 2007 PE

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#### TRANSMITTAL LETTER

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicant

Hosheng Tu, et al.

App. No

: 10/706,300

Filed

November 12, 2003

For

GLAUCOMA IMPLANT WITH

THERAPEUTIC AGENTS

Examiner

Leslie R. Deak

Art Unit

3761

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

February 21, 2007

Walter S. Wu, Reg. No. 50,816

### Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement and PTO/SB/08 equivalent listing references for consideration:
  - (X) Listing 272 references.
  - (X) Enclosing 61 references.
- (X) A check in the amount of \$180 to cover the above fee is enclosed.
- (X) Return prepaid postcard.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

Walter S. Wu

Registration No. 50,816

Attorney of Record

Customer No. 20,995

(949) 760-0404

3451467 022107 Docket No.: GLAUKO.1C3CP1

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(Date)

Walter S. Wu, Reg. No. 50,816

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 272 references to be considered by the Examiner. Also enclosed are 61 foreign patent references and/or non-patent literature as listed on the Supplemental Information Disclosure Statement.

This Supplemental Information Disclosure Statement is being filed before the mailing date of a final action and before the mailing of a Notice of Allowance. This Statement is accompanied by the fees set forth in 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated:

2/20/07

02/27/2007 RMEBRAHT 00000084 10706300

01 FC:1806

180,00 OP

Walter S. Wu

Registration No. 50,816

Attorney of Record

Customer No. 20,995

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3451423 022107

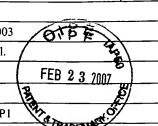
# SUPPLEMENTAL INFORMATION DISCLOSURE

## STATEMENT BY APPLICANT

(Multiple sheets used when necessary)

SHEET 1 OF 11

| Application No.      | 10/706,300         |
|----------------------|--------------------|
| Filing Date          | November 12, 2003  |
| First Named Inventor | Hosheng Tu, et al. |
| Art Unit             | 3761               |
| Examiner             | Leslie R. Deak     |
| Attorney Docket No.  | GLAUKO.1C3CP1      |



|                       |             |   |                                |                               | TAUEN  |
|-----------------------|-------------|---|--------------------------------|-------------------------------|--|
| U.S. PATENT DOCUMENTS |             |   |                                |                               |  |
| Examiner<br>Initials  | Cite<br>No. | Document Number<br>Number - Kind Code (if known)<br>Example: 1,234,567 B1 | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       | 1           | DES 490,152   | 05-18-2004                     | Myall et al.                  |  |
|                       | 2           | RE35,390  | 12-03-1996                     | Smith                         |  |
|                       | 3           | 3,788,327   | 01-29-1974                     | Donowitz et al.               |  |
|                       | 4           | 4,037,604   | 07-26-1977                     | Newkirk                       |  |
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|                       | 11          | 4,521,210   | 06-04-1985                     | Wong                          |  |
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|                       | 15          | 4,632,842   | 12-30-1986                     | Karwoski et al.               |  |
|                       | 16          | 4,634,418   | 01-06-1987                     | Binder                        |  |
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|                       | 20          | 4,750,901   | 06-14-1988                     | Molteno                       |  |
|                       | 21          | 4,787,885   | 11-29-1988                     | Binder                        |  |
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|                       | 27          | 4,863,457   | 09-05-1989                     | Lee                           |  |
|                       | 28          | 4,883,864   | 11-28-1989                     | Scholz                        |  |
|                       | 29          | 4,886,488   | 12-12-1989                     | White                         |  |

Examiner Signature

Date Considered

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T<sup>1</sup> - Place a check mark in this area when an English language Translation is attached.

| SUPPLEMENTAL INFORMATION DISCLOSURE   | Application No.      | 10/706,300         |
|---------------------------------------|----------------------|--------------------|
|                                       | Filing Date          | November 12, 2003  |
| DISCLUSURE                            | First Named Inventor | Hosheng Tu, et al. |
| STATEMENT BY APPLICANT                | Art Unit             | 3761               |
| (Multiple sheets used when necessary) | Examiner             | Leslie R. Deak     |
| SHEET 2 OF 11                         | Attorney Docket No.  | GLAUKO 1C3CP1      |

| U.S. PATENT DOCUMENTS |             |   |                                |                               |  |
|-----------------------|-------------|---|--------------------------------|-------------------------------|--|
| Examiner<br>Initials  | Cite<br>No. | Document Number<br>Number - Kind Code (if known)<br>Example: 1,234,567 B1 | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       | 30          | 4,900,300   | 02-13-1990                     | Lee                           |  |
| 7-11                  | 31          | 4,936,825   | 06-26-1990                     | Ungerleider                   |  |
|                       | 32          | 4,946,436   | 08-07-1990                     | Smith                         |  |
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|                       | 36          | 5,073,163   | 12-17-1991                     | Lippman                       |  |
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|                       | 41          | 5,164,188   | 11-17-1992                     | Wong                          |  |
|                       | 42          | 5,171,213   | 12-15-1992                     | Price, Jr.                    |  |
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|                       | 44          | 5,180,362   | 01-19-1993                     | Worst                         |  |
|                       | 45          | 5,207,685   | 05-04-1993                     | Cinberg, et al.               |  |
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|                       | 49          | 5,318,513   | 06-07-1994                     | Leib et al.                   | V  |
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|                       | 56          | 5,372,577   | 12-13-1994                     | Ungerleider                   |  |
|                       | 57          | 5,397,300   | 03-14-1995                     | Baerveldt et al.              |  |
|                       | 58          | 5,433,701   | 07-18-1995                     | Rubinstein                    |  |

**Date Considered** 

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|                                       | Filing Date          | November 12, 2003  |
|                                       | First Named Inventor | Hosheng Tu, et al. |
| STATEMENT BY APPLICANT                | Art Unit             | 3761               |
| (Multiple sheets used when necessary) | Examiner             | Leslie R. Deak     |
| SHEET 3 OF 11                         | Attorney Docket No.  | GLAUKO.1C3CP1      |

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| Examiner<br>Initials | Cite<br>No.           | Document Number<br>Number - Kind Code (if known)<br>Example: 1,234,567 B1 | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where<br>Relevant Passages or Relevant<br>Figures Appear |  |
|                      | 59                    | 5,443,505   | 08-22-1995                     | Wong, et al.                  |  |  |
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|                      | 72                    | 5,601,549   | 02-11-1997                     | Miyagi                        |  |  |
|                      | 73                    | 5,626,558   | 05-06-1997                     | Suson                         |  |  |
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| V                    | 76                    | 5,651,783   | 07-29-1997                     | Reynard                       |  |  |
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| ·                    | 80                    | 5,681,275   | 10-28-1997                     | Ahmed                         |  |  |
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|                      | 85                    | 5,713,844   | 02-03-1998                     | Peyman                        |  |  |
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|                      | 87                    | 5,741,333   | 04-21-1998                     | Frid                          |  |  |

| Exam | iner | Signa | iture |
|------|------|-------|-------|
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<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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|                                       | Filing Date          | November 12, 2003  |
|                                       | First Named Inventor | Hosheng Tu, et al. |
| STATEMENT BY APPLICANT                | Art Unit             | 3761               |
| (Multiple sheets used when necessary) | Examiner             | Leslie R. Deak     |
| SHEET 4 OF 11                         | Attorney Docket No.  | GLAUKO.1C3CP1      |

| U.S. PATENT DOCUMENTS |             |   |                                |                               |  |
|-----------------------|-------------|---|--------------------------------|-------------------------------|--|
| Examiner<br>Initials  | Cite<br>No. | Document Number Number - Kind Code (if known) Example: 1,234,567 B1 | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       | 88          | 5,743,868   | 04-28-1998                     | Brown et al.                  |  |
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|                       | 100         | 5,865,831   | 02-02-1999                     | Cozean et al.                 |  |
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|                       | 107         | 5,932,299   | 08-03-1999                     | Katoot                        |  |
|                       | 108         | 5,968,058   | 10-19-1999                     | Richter et al.                |  |
|                       | 109         | 5,980,928   | 11-09-1999                     | Terry, Paul B.                |  |
| ×                     | 110         | 5,981,598   | 11-09-1999                     | Tatton                        |  |
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|                       | 112         | 6,007,510   | 12-28-1999                     | Nigam                         |  |
| <del></del>           | 113         | 6,007,511   | 12-28-1999                     | Prywes                        |  |
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|                       | 115         | 6,045,557   | 04-04-2000                     | White et al.                  |  |
| <del></del>           | 116         | 6,050,970   | 04-18-2000                     | Baerveldt                     |  |

| Examiner | Signa | ture |
|----------|-------|------|
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#### Application No. 10/706,300 SUPPLEMENTAL INFORMATION Filing Date November 12, 2003 **DISCLOSURE** First Named Inventor Hosheng Tu, et al. STATEMENT BY APPLICANT Art Unit 3761 (Multiple sheets used when necessary) Leslie R. Deak Examiner SHEET 5 OF 11 GLAUKO.1C3CP1 Attorney Docket No.

| U.S. PATENT DOCUMENTS |             |   |                                |                               |  |
|-----------------------|-------------|---|--------------------------------|-------------------------------|--|
| Examiner<br>Initials  | Cite<br>No. | Document Number<br>Number - Kind Code (if known)<br>Example: 1,234,567 B1 | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       | 117         | 6,050,999   | 04-18-2000                     | Paraschac et al.              |  |
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|                       | 123         | 6,077,299   | 06-20-2000                     | Adelberg et al.               |  |
|                       | 124         | 6,102,045   | 08-15-2000                     | Nordquist et al.              |  |
|                       | 125         | 6,142,990   | 11-07-2000                     | Burk                          |  |
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|                       | 131         | 6,193,656   | 02-27-2001                     | Jeffries et al.               |  |
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|                       | 133         | 6,203,513   | 03-20-2001                     | Yaron et al.                  |  |
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|                       | 135         | 6,228,873   | 05-08-2001                     | Brandt et al.                 |  |
|                       | 136         | 6,231,597   | 05-15-2001                     | Deem et al.                   |  |
|                       | 137         | 6,241,721   | 06-05-2001                     | Cozean et al.                 |  |
|                       | 138         | 6,251,090   | 06-26-2001                     | Avery, et al.                 | •  |
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|                       | 145         | 6,331,313   | 12-18-2001                     | Wong, et al.                  |  |

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|---------------------------------------|----------------------|--------------------|
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| DISCLOSURE                            | First Named Inventor | Hosheng Tu, et al. |
| STATEMENT BY APPLICANT                | Art Unit             | 3761               |
| (Multiple sheets used when necessary) | Examiner             | Leslie R. Deak     |
| SHEET 6 OF 11                         | Attorney Docket No.  | GLAUKO.1C3CP1      |

|                      | U.S. PATENT DOCUMENTS |   |                                |                               |  |  |  |
|----------------------|-----------------------|---|--------------------------------|-------------------------------|--|--|--|
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|                      | 146                   | 6,342,058   | 01-29-2002                     | Portney                       |  |  |  |
|                      | 147                   | 6,348,042   | 02-19-2002                     | Warren, Jr.                   |  |  |  |
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|                      | 150                   | 6,416,777   | 07-09-2002                     | Yaacobi                       |  |  |  |
|                      | 151                   | 6,428,501   | 08-06-2002                     | Reynard                       |  |  |  |
|                      | 152                   | 6,436,427   | 08-20-2002                     | Hammang, et al.               |  |  |  |
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|                      | 154                   | 6,450,984   | 09-17-2002                     | Lynch et al.                  |  |  |  |
|                      | 155                   | 6,464,724   | 10-15-2002                     | Lynch et al.                  |  |  |  |
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|                      | 157                   | 6,530,896   | 03-11-2003                     | Elliott, James B              |  |  |  |
|                      | 158                   | 6,533,768   | 03-18-2003                     | Hill                          |  |  |  |
|                      | 159                   | 6,544,249   | 04-08-2003                     | Yu et al.                     |  |  |  |
|                      | 160                   | 6,548,078   | 04-15-2003                     | Guo, et al.                   |  |  |  |
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|                                       | Filing Date          | November 12, 2003  |
|                                       | First Named Inventor | Hosheng Tu, et al. |
| STATEMENT BY APPLICANT                | Art Unit             | 3761               |
| (Multiple sheets used when necessary) | Examiner             | Leslie R. Deak     |
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|     | SHEET 8 OF 11                         | Attorney Docket No.  | GLAUKO.1C3CP1      |

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#### 10/706,300 Application No. SUPPLEMENTAL INFORMATION Filing Date November 12, 2003 **DISCLOSURE** First Named Inventor Hosheng Tu, et al. STATEMENT BY APPLICANT Art Unit 3761 (Multiple sheets used when necessary) Examiner Leslie R. Deak SHEET 9 OF 11 Attorney Docket No. GLAUKO.1C3CP1

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| SHEET 10 OF 11                        | Attorney Docket No.  | GLAUKO.1C3CP1      |

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